



DEPARTMENT OF THE ARMY
OFFICE OF THE DEPUTY CHIEF OF STAFF, G-2
1000 ARMY PENTAGON
WASHINGTON, DC 20310-1000

DAMI-CD

27 Nov 06

MEMORANDUM FOR SEE DISTRIBUTION

SUBJECT: Modification of Investigative Standards for the Single-Scope Background Investigation - Periodic Reinvestigation

1. Reference memorandum, USD (I), dated 5 March 2005, subject: Single-Scope Background Investigation – Periodic Reinvestigations (SSBI-PR).
2. The President approved an amendment that modified the investigative standards for the Single-Scope Background Investigation - Periodic Reinvestigation (SSBI-PR), referred to as the Phased PR (PPR), in December 2004. This modification allows agencies to exclude selected investigative scope requirements from the reinvestigation when no information of security concern is admitted or developed. Referenced USD (I) memorandum (enclosure 1) implements the PPR within the Department of Defense (DoD). Enclosure 2 is a quick overview of the Phase 1 and Phase 2 sources.
3. Effective immediately, all SSBI-PRs submitted on Army military and civilian personnel will be conducted under the PPR modified standards when applicable. The modified standard applies to individuals requiring access to Top Secret, Sensitive Compartmented Information, Special Access Programs or occupying critical-sensitive positions.
4. SSBI-PR requests will be submitted to OPM using the Electronic Questionnaire for Investigations Processing (e-QIP) via the DoD Joint Personnel Adjudication System (JPAS). Personnel conducting a quality review of the e-QIP submission will determine whether the SSBI-PR falls under the PPR provision by reviewing the responses provided by the individual. A positive response or information provided by the individual related to select questions will result in the reinvestigation being submitted as a full scope SSBI-PR. The criteria outlined in enclosure 3 will be used to determine when an SSBI-PR submission should be requested as a PPR.
5. Currently, JPAS business rules automatically default all SSBI-PR submissions using e-QIP to the PPR. Until necessary modifications can be made to JPAS, overriding permissions must be granted to the Initiator/Approver to request the full scope SSBI-PR. At this time, only user levels 2 and 4 may override e-QIP submissions in JPAS. If submitting in paper, the Office of Personnel Management (OPM) Investigation Type code currently used when submitting a PPR is 19C.

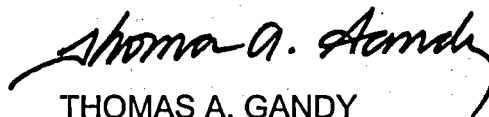
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Background Investigation - Periodic Reinvestigation

6. OPM will automatically convert any PPR request to the full scope SSBI-PR at case scheduling if there are any positive responses on the SF 86/e-QIP that meet the criteria outlined in enclosure 3, and bill the agency at the SSBI-PR cost. Any investigation originally scheduled as a basic PPR that develops information of a security concern during the course of the investigation will be expanded to meet the full SSBI-PR requirements. In those instances, OPM will apply a surcharge at the time of conversion to the PPR basic price on all expanded PPR cases. Security managers are reminded to carefully review e-QIP submissions and ensure they initially request the correct investigation type when submitting reinvestigation requests.

7. The HQDA, DCS, G-2 point of contact is Julia Swan, DSN 225-2629 or commercial 703-695-2629, julia.swan@us.army.mil.

Enclosures



THOMAS A. GANDY
Director, Counterintelligence, Human
Intelligence, Disclosure & Security
Directorate

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INTELLIGENCE

UNDER SECRETARY OF DEFENSE

5000 DEFENSE PENTAGON
WASHINGTON, DC 20301-5000

MAR -5 2005

MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS
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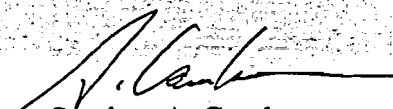
SUBJECT: Single-Scope Background Investigation - Periodic Reinvestigations (SSBI-PR)

In December 2004, the President approved a modification to the investigative standards for the SSBI-PR, known as the Phased PR. This modified standard applies to all civilian and military personnel, as well as consultants, contractors, licensees, certificate holders, grantees, and their employees and all other individuals, who require access to classified information or perform sensitive duties. It also applies to all such individuals who require access to Sensitive Compartmented Information and Special Access Programs.

Effective immediately, all SSBI-PRs conducted on individuals affiliated with the Department of Defense (DoD) will be conducted under the recently approved modified standard. As you may recall, DoD pioneered the Phased PR and conducted the pilot test in FY03. The Phased PR represents a significant step in using sound research principles to identify more effective, efficient, and timely methods for the conduct of investigations.

All DoD Components are to honor clearances properly renewed under this modified standard. Entities that conduct/contract for personnel security investigations, as well as those who perform personnel security adjudications, are to use the modified standard. Additional guidance will be provided under separate cover to your policy and adjudicative staff.

If you have any questions, please contact Charleen Wright at 703-697-3039.


Stephen A. Cambone



encl 1

**Phased Periodic Reinvestigation
(Single Scope Background Investigation – Periodic Reinvestigation)
(SSBI-PR)**

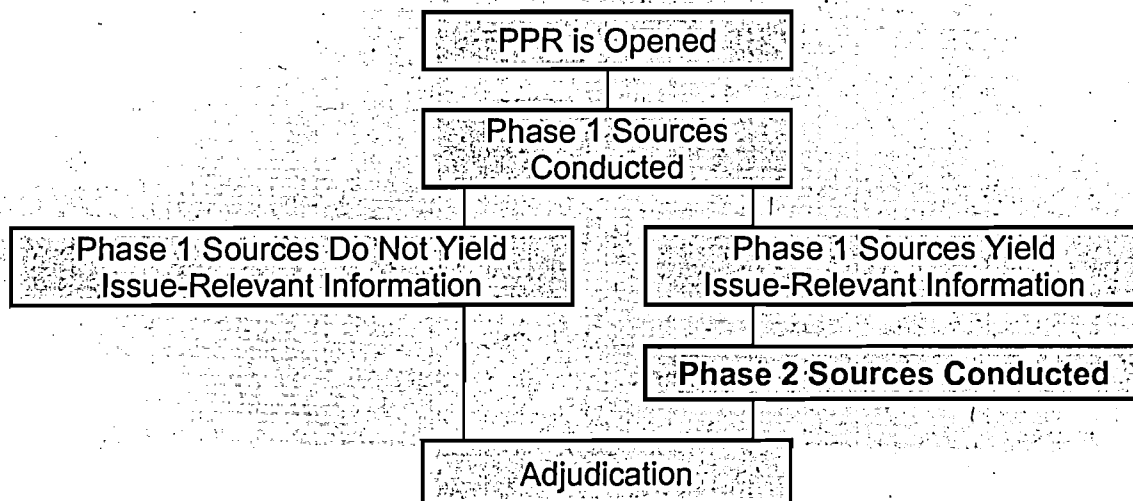
The Phased PR (PPR) is a more efficient methodology for the conduct of an SSBI-PR. The investigation is divided into two phases. Information developed in Phase 1 determines if Phase 2 is needed. If Phase 1 does not yield issue relevant information, the investigation goes no further. If Phase 1 sources yield issue-relevant information the investigation is expanded to include Phase 2.

Phase 1 Sources

- PSQ
- Subject Interview
- Credit Report
- NAC/Subject
- NAC/Spouse-Cohabitant
- LAC
- Employment Records
- Military records
- Security Records
- Title 31
- Medical Records
- Education Records
- Public Records
- FinCEN
- Misc. Records

Phase 2 Sources

- Listed Reference Interviews
- Developed Reference Interviews
- Neighborhood Checks



encl 2

PHASED PERIODIC REINVESTIGATION (PPR) CRITERIA

The following select questions from the Standard Form 86, *Questionnaire for National Security Positions* constitutes the criteria in determining when a SSBI-PR may be submitted as a Phased PR:

<u>SF 86 Question</u>	<u>Response</u>
8a Citizenship	"I am not a U.S. Citizen" checked
8d Dual Citizenship	Checked
17 Your Foreign Activities	A positive response to questions: b, c, or d.
21 Your Medical Record	A positive response (except for marital, family, or grief counseling, not related to violence by the subject)
23 Your Police Record	A positive response to questions: a, b, c, d, e, or f
24 Your Use of Illegal Drugs and Drug Activity	A positive response to questions: a, b, or c
25 Your Use of Alcohol	A positive response
26 Your Investigation Record	A positive response to question: b
27 Your Financial Record	A positive response to questions: a, b, c, d
28 Your Financial Delinquencies	A positive response to questions: a, b
30 Your Association Record	A positive response to questions: a or b

Full Scope SSBI-PR (Case type 18A Priority /18C Standard). A positive response by the subject or subject-provided information related to the criteria above may indicate a security concern. A positive response to **ANY** of the questions will result in investigations being submitted as a full scope SSBI-PR.

Phased PR (PPR) (Case type 19A Priority /19C Standard). A Phased PR may be requested when none of the above criteria applies to the individual's responses.

(Note: Priority level of service requires HQDA G-2 DAMI-CDS approval.)

encl 3

OPM will automatically convert any PPR request to the full scope SSBI-PR product, at case scheduling, if there are any positive responses to one or more of the questions, and the agency will be billed for the SSBI-PR rate.

OPM will automatically expand the investigation to include reference, residence and education coverage if certain issues are developed during the conduct of the field-work portion of any PPR:

- Evidence that the subject is not a U.S. citizen, or has renounced or otherwise lost their U.S. citizenship since the time of the last investigation;

- Evidence that the subject is a dual citizen or has obtained dual citizenship since the time of the last investigation;

- Evidence that the subject has been employed by or acted as a consultant for any foreign government, firm or agency; that the subject has engaged in any contact with a foreign government or its establishments or representatives on other than official U.S. government business; that the subject holds or has been issued a foreign passport;

- Evidence that the subject has undergone mental health treatment (except marital, family or grief counseling, not related to violence by the subject) since the last investigation, whether this treatment began before or after the last investigation;

- Evidence that the subject has been charged with or convicted of any criminal offenses (excluding traffic violations resulting in fines less than \$150.00) since the last investigation;

- Evidence that the subject used any drugs since the last investigation;

- Evidence that the subject has abused alcohol or has received any alcohol-related treatment or counseling since the last investigation;

- Evidence that the subject has had a clearance or access authorization denied, suspended or revoked, or has been debarred from Federal employment since the last investigation;

- Evidence that the subject has financial issues; and

- Evidence that the subject has associated with any individuals or groups dedicated to the violent overthrow of the U.S. government or that the subject has acted to do so.